

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10717076
Filing Date	2003-11-18
First Named Inventor	Brian S. Appel
Art Unit	1797
Examiner Name	Tam M. Nguyen
Attorney Docket Number	12833-011USU1

**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☒ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

☐ See attached certification statement.

☒ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☐ None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Thomas D. Kohler/	Date (YYYY-MM-DD)	2009-12-28
Name/Print	Thomas D. Kohler	Registration Number	32797

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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	1	2009108761	WO		2009-09-03	AB-CWT, LLC		<input type="checkbox"/>

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1	Response to Office Action dated November 25, 2009, in related pending US Application Serial No. 10/954,691, filed 9/29/2004.	<input type="checkbox"/>
2	Notice of Allowance dated November 19, 2009, in related U.S. Application Serial No. 11/529,825, filed 9/29/2006.	<input type="checkbox"/>
3	Final Office Action dated September 9, 2009, in related pending U.S. Application Serial No. 11/529,825.	<input type="checkbox"/>
4	Examiner Interview Summary dated October 14, 2009, in related pending U.S. Application Serial No. 11/529,825.	<input type="checkbox"/>
5	Third Office Action dated August 31, 2009, in related pending U.S. Application Serial No. 10/954,691.	<input type="checkbox"/>
6	312 Amendment dated 11/24/2009, in related pending U.S. Application Serial No. 11/529,825.	<input type="checkbox"/>

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Examiner Signature		Date Considered	
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<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

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